



Standard-dose epirubicin increases the pathological complete response rate in neoadjuvant chemotherapy for breast cancer: a multicenter retrospective study

Ben-Jie Shan^{1,2#}, Xia-Bo Shen^{3#}, Wei Jin^{4#}, Meng-Hao Dong⁵, Xing-Hua Han², Lin Lin², Jian Chen², Da-Bing Huang², Jun Qian⁶, Jing-Jie Zhang⁷, Yue-Yin Pan²

¹Department of Medical Oncology, Anhui Provincial Hospital, Cheeloo College of Medicine, Shandong University, Jinan, China; ²Department of Medical Oncology, The First Affiliated Hospital of USTC, University of Science and Technology of China, Hefei, China; ³Department of Medical Oncology, Cancer Hospital of the University of Chinese Academy of Sciences (Zhejiang Cancer Hospital), Hangzhou, China; ⁴The Fifth Ward of the Department of Tumor Chemotherapy, The First Affiliated Hospital of USTC & Anhui Provincial Cancer Hospital, Hefei, China; ⁵Anhui Provincial Hospital, Wannan Medical College, Wuhu, China; ⁶Department of Tumor Surgery, The First Affiliated Hospital of Bengbu Medical College, Bengbu, China; ⁷Department of Breast Surgery, The First Affiliated Hospital of Anhui Medical University, Hefei, China

Contributions: (I) Conception and design: YY Pan; (II) Administrative support: JJ Zhang, J Qian, YY Pan; (III) Provision of study materials or patients: BJ Shan, W Jin, XH Han, MH Dong, J Chen, DB Huang; (IV) Collection and assembly of data: BJ Shan, L Lin, XB Shen; (V) Data analysis and interpretation: BJ Shan, XB Shen; (VI) Manuscript writing: All authors; (VII) Final approval of manuscript: All authors.

[#]These authors contributed equally to this work.

Correspondence to: Yue-Yin Pan. Department of Medical Oncology, The First Affiliated Hospital of USTC, University of Science and Technology of China, Hefei 230001, China. Email: panyueyin@ustc.edu.cn.

Background: Neoadjuvant chemotherapy (NAC) has become the best comprehensive treatment choice for breast cancer. Epirubicin is a crucial drug widely used in breast cancer chemotherapy, but it is often used with a reduced dosage in NAC for Chinese patients for its notable cardiotoxicity and frequent adverse events. This study aimed to investigate the efficacy and safety of standard-dose epirubicin in NAC for Chinese breast cancer patients retrospectively.

Methods: We retrospectively collected clinicopathological parameters of breast cancer patients who underwent epirubicin-based NAC and a later surgery from three separate medical centers. Patients were divided into standard-dose and low-dose groups according to the epirubicin dose. The pathological complete response (pCR) rate, as the main therapeutic outcomes, and the incidence of adverse events were recorded and compared.

Results: The pCR rate of the standard-dose group was 41.2%, while the low-dose group was 10.1% ($P < 0.001$). The univariate analysis showed that ER status (HR, 2.519; 95% CI, 1.057–5.988, $P = 0.037$) and epirubicin dose (HR, 6.200; 95% CI, 2.374–16.193, $P < 0.001$) were associated with pCR rates. The multivariate analysis showed that patients receiving standard-dose epirubicin chemotherapy (HR, 6.925; 95% CI, 2.537–18.902, $P < 0.001$) showed more possibility to achieve pCR after NAC. There was no significant difference in the incidence rates of grade III/IV adverse events between these two different dose groups.

Conclusions: Standard-dose epirubicin increases the pCR rate in breast cancer patients treated with NAC, and no other toxicity is noted.

Keywords: Standard-dose epirubicin; neoadjuvant chemotherapy (NAC); breast cancer; pathological complete response (pCR)

Submitted Jul 04, 2020. Accepted for publication Aug 12, 2020.

doi: 10.21037/gs-20-647

View this article at: <http://dx.doi.org/10.21037/gs-20-647>

Introduction

Breast cancer is one of the most common types of malignant tumors in females worldwide. According to the statistics on female breast cancer from the American Cancer Society, the incidence of breast cancer has increased by 0.3% annually in recent years (1). Chinese cases account for 12.2% of all newly diagnosed breast cancers and 9.6% of all deaths worldwide (2). Tremendous efforts have been made for pursuing a continuous improvement of patients' survival in patients with breast cancer, and the perspectives of disease management towards breast cancer are shifting from the traditional reduction of mortality to comprehensive management that enables cure or recurrence reduction.

Neoadjuvant chemotherapy (NAC) for breast cancer has drawn increased attention in comprehensive treatment for breast cancer. It has multiple advantages in improving surgical resection rate and breast conservation rate, reducing the probability of postoperative recurrence, and increasing the sensitivity of tumors to chemotherapy (3). Pathological complete response (pCR) following NAC is strongly associated with both breast cancer subtype and long-term survival, and it is an independent predictor of favorable clinical outcomes in all molecular subtypes (4). pCR has become an accepted evaluation index for evaluating the efficacy of NAC.

Epirubicin is a derivative from adriamycin, which is also a new generation of anthracycline antitumor drugs. Earlier NAC regimens are anthracycline-based, yet epirubicin has rapidly appeared as the first choice to treat breast cancer (5). It is noteworthy that epirubicin doses in chemotherapy regimens for Chinese patients were much lower than those in western populations for some ethnic and genetic background differences. Chinese clinicians usually reduce the epirubicin dose by 10–15% during neoadjuvant treatment for the visible side effects, including cardiotoxicity and bone marrow suppression.

It was reported that primary breast cancer tumor cells cultured *in vitro* with growth inhibition showed a strong dose dependence for epirubicin (6). There were rare studies concerning the impact of epirubicin dose in NAC for Chinese patients with breast cancer. A unanimous agreement on the dose of epirubicin in this condition has not been reached so far. It is speculated the reduction of the epirubicin dose would affect the pCR rate or drug-associated toxicity. This study aims to investigate the efficacy and safety of standard-dose epirubicin in NAC for Chinese breast cancer patients retrospectively.

We present the following article in accordance with the STROBE reporting checklist (available at <http://dx.doi.org/10.21037/gs-20-647>).

Methods

Patients

This study retrospectively collected clinical data from breast cancer patients who received epirubicin-based NAC and later surgeries from January 2015 to December 2019. Data of patients were from three independent hospitals: the First Affiliated Hospital of the University of Science and Technology of China (Anhui Provincial Hospital), the First Affiliated Hospital of Anhui Medical University, and the First Affiliated Hospital of Bengbu Medical College.

The inclusion criteria are: patients were pathologically diagnosed with Luminal B Her-2- breast cancer and triple-negative breast cancer (TNBC) by fine-needle or core-needle biopsy, respectively. The patients were clinically diagnosed as early or locally advanced breast cancer by physical examinations and imaging tests. The patients had satisfactory performance status (Eastern Cooperative Oncology Group Score ≤ 2). The patients underwent a subsequent surgery after NAC and got the postoperative pathological diagnosis. The patients had normal cardiac function with left ventricular ejection fraction $\geq 50\%$ by echocardiography before treatment. The blood tests and cardiac examinations were recorded after chemotherapy for toxicity assessment. Patients that underwent other anti-cancer drugs or presented with other serious illness that potentially affected treatment results, such as acute myocardial infarction, cancer, pulmonary heart disease, and severe renal insufficiency were excluded.

This study was approved by the Ethics Committee of Anhui Provincial Hospital (2019-ky086). The need for written informed consent was waived by the Ethics Committee because of the retrospective nature of this study. This study was conducted following the Declaration of Helsinki (as revised in 2013).

Data collection

The clinicopathological characteristics collected are age, menopause condition, molecular subtype, clinical T stage, lymph node status, ER status, PR status, Ki-67, chemotherapy regimen, epirubicin dose, and toxicity of chemotherapy. The pCR and incidence rate of adverse

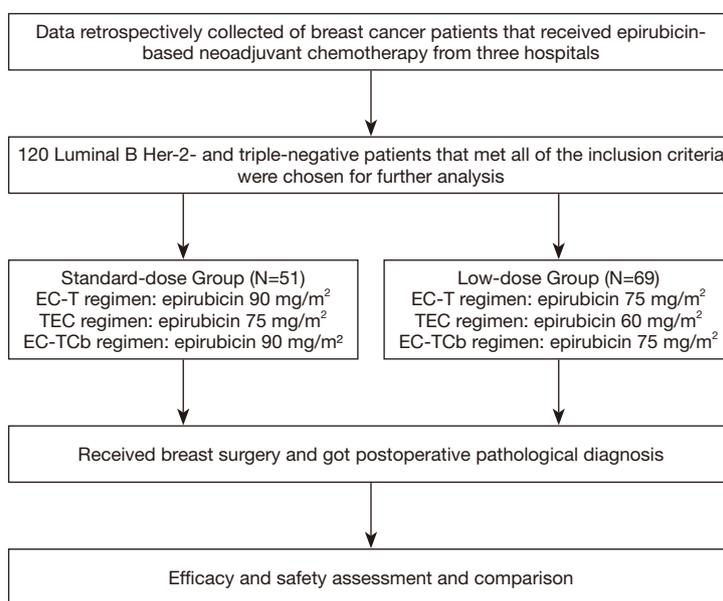


Figure 1 Study flowchart.

events were also recorded as the primary indicators of efficacy and the index of safety.

Chemotherapy regimens

EC-T regimen: epirubicin 75–90 mg/m² d1, cyclophosphamide 600 mg/m² d1, every 3 weeks, 4 cycles; sequential docetaxel 80 mg/m² d1, every 3 weeks. TEC regimen: epirubicin 60–75 mg/m² d1, cyclophosphamide 500 mg/m² d1, docetaxel 75 mg/m² d1, every 3 weeks. EC-TCb regimen: epirubicin 75–90 mg/m² d1, cyclophosphamide 600 mg/m² d1, every 3 weeks, 4 cycles; sequential docetaxel 80 mg/m² d1, every 3 weeks, Carboplatin AUC 5–6, every 3 weeks.

Efficacy and safety assessment

According to Miller and Payne system, pCR is defined as Grade 5: no identifiable malignant cells in sections are observed from the site of the tumor, only vascular fibroelastotic stroma remains often containing macrophages, and ductal carcinoma *in situ* may be present (7). Further, the assessment of treatment-related adverse events was from the Common Terminology Criteria for Adverse Events (CTCAE) version 5.0. This study recorded the grade III/IV adverse events that clinicians most concerned, which are needed to be aware and addressed adequately.

Statistical analysis

All data analysis and graph presentations were performed using SPSS 23.0 (IBM Corporation, Armonk, NY, USA) and Graphpad Prism 8.0 (GraphPad Software Inc., San Diego, CA, USA) statistical software. The Chi-square test was used for rate comparison. Cox regression analysis was used for univariate and multivariate analysis. Only factors that got $P < 0.20$ in the univariate analysis were further analyzed in multivariate analysis. The difference was statistically significant at $P < 0.05$.

Results

Clinicopathological characteristics of patients

Data from 120 breast cancer patients who met the study inclusion criteria were eventually analyzed in this study. All patients underwent epirubicin-based neoadjuvant treatment. Patients were classified into two groups according to the epirubicin dose: standard-dose group (N=51) and low-dose group (N=69). A detailed study flowchart was shown (Figure 1). The median age of the standard-dose group was 47 years old, while the low-dose group was 51 years old. There is no significant difference in menopause condition, molecular subtype, clinical T stage, lymph node status, ER status, PR status, Ki-67, and clinical-stage between the two different dose groups (Table 1).

Table 1 Clinicopathological characteristics of breast cancer patients

Variables	Standard-dose, n (%)	Low-dose, n (%)	P value
Age, median (IQR)	47 (38,51)	51 (45,60)	–
Menopause			0.051
Yes	14 (11.7%)	31 (25.8%)	
No	37 (30.8%)	38 (31.7%)	
Molecular subtype			0.962
LB	32 (26.7%)	43 (35.8%)	
TNBC	19 (15.8%)	26 (21.7%)	
Clinical T stage			0.759
T1	11 (9.2%)	14 (11.7%)	
T2	33 (27.5%)	42 (35%)	
T3	7 (5.8%)	13 (10.8%)	
Lymph node status			0.117
Positive	26 (21.7%)	45 (37.5%)	
Negative	25 (20.8%)	24 (20%)	
ER			0.737
Positive	28 (23.3%)	40 (33.3%)	
Negative	23 (19.2%)	29 (24.2%)	
PR			0.505
Positive	29 (24.2%)	35 (29.2%)	
Negative	22 (18.3%)	34 (28.3%)	
Ki-67			0.474
<30%	35 (29.2%)	43 (35.8%)	
≥30%	16 (13.3%)	26 (21.7%)	
Clinical stage			0.071
I	6 (5%)	9 (7.5%)	
II	37 (30.8%)	37 (30.8%)	
III	8 (6.7%)	23 (19.2%)	

IQR, interquartile range; LB, Luminal B, Her2–; TNBC, triple-negative breast cancer; ER, estrogen receptor; PR, progesterone receptor

Association between clinicopathological parameters and the pCR rate

The Chi-square test showed the pCR rate difference in the clinicopathological parameter groups. The pCR rate of the standard-dose group was 41.2%, while the pCR rate of the low-dose group was 10.1% ($P < 0.001$), and the difference was statistically significant. In the subgroup analysis, the pCR rates of ER-negative and ER-positive

patients' group were 32.7% and 16.2% ($P = 0.034$). Meanwhile, the pCR rates of node-negative and node-positive patients were 32.7% and 16.9% ($P = 0.045$). pCR rates showed no statistical significance between different age, menopause condition, molecular subtype, clinical T stage, clinical N stage, clinical stage, lymph node status, PR status, Ki-67, and chemotherapy groups (*Table 2* and *Figure 2*).

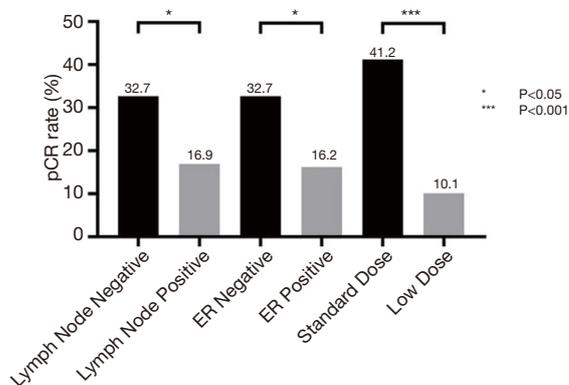
Table 2 Association between clinicopathological parameters and pCR rate

Variables	pCR, n (%)	Non-pCR, n (%)	P value
Age, y			0.574
<50	16 (13.3%)	47 (39.2%)	
≥50	12 (10%)	45 (37.5%)	
Menopause			0.504
Yes	9 (7.5%)	36 (30%)	
No	19 (15.8%)	56 (46.7%)	
Molecular subtype			0.504
LB	16 (13.3%)	59 (49.2%)	
TNBC	12 (10%)	33 (27.5%)	
Clinical T stage			0.292
T1	8 (6.6%)	17 (14.2%)	
T2	14 (11.7%)	61 (50.8%)	
T3	6 (5%)	14 (11.7%)	
Clinical N stage			0.239
N0	16 (13.3%)	33 (27.5%)	
N1	8 (6.7%)	43 (35.8%)	
N2	3 (2.5%)	11 (9.2%)	
N3	1 (0.8%)	5 (4.2%)	
Clinical stage			0.616
I	5 (4.2%)	10 (8.3%)	
II	16 (13.3%)	58 (48.4%)	
III	7 (5.8%)	24 (20%)	
Lymph node status			0.045
Positive	12 (10%)	59 (49.2%)	
Negative	16 (13.3%)	33 (27.5%)	
ER			0.034
Positive	11 (9.2%)	57 (47.5%)	
Negative	17 (14.2%)	35 (29.1%)	
PR			0.089
Positive	11 (9.2%)	53 (44.1%)	
Negative	17 (14.2%)	39 (32.5%)	
Ki-67			0.205
<30%	21 (17.5%)	57 (47.5%)	
≥30%	7 (5.8%)	35 (29.2%)	

Table 2 (Continued)**Table 2** (Continued)

Variables	pCR, n (%)	Non-pCR, n (%)	P value
Chemotherapy regimen			0.391
EC-T or P	17 (14.2%)	61 (50.8%)	
TEC	6 (5%)	23 (19.2%)	
EC-TCb	5 (4.2%)	8 (6.6%)	
Epirubicin dose			<0.001
Low	7 (5.8%)	62 (51.7%)	
Standard	21 (17.5%)	30 (25%)	

pCR, pathological complete response; LB, Luminal B, Her2-; TNBC, triple-negative breast cancer; ER, estrogen receptor; PR, progesterone receptor; EC-T or P, epirubicin/cyclophosphamide followed by docetaxel or paclitaxel; TEC, docetaxel/epirubicin/cyclophosphamide; EC-TCb, epirubicin/cyclophosphamide followed by docetaxel and carboplatin.

**Figure 2** Statistically significant pCR rates between different clinicopathological parameter groups. pCR, pathological complete response; ER, estrogen receptor; PR, progesterone receptor.

Univariate and multivariate analysis of factors influencing pCR rate

The univariate analysis showed the ER status (HR, 2.519; 95% CI, 1.057–5.988, $P=0.037$) and epirubicin dose (HR, 6.200; 95% CI, 2.374–16.193, $P<0.001$) were possibly associated with pCR rate (Table 3). Finally, three factors that got $P<0.20$ were further analyzed in multivariate analysis. Patients receiving standard-dose epirubicin chemotherapy (HR, 6.925; 95% CI, 2.537–18.902, $P<0.001$) showed more possibility to achieve pCR after NAC, it was an independent positive prognostic factor (Table 4).

Table 3 Univariate analysis of factors influencing pCR rate

Variables	HR (95% CI)	P value
Age, y		0.575
<50	Reference	
≥50	0.783 (0.334–1.838)	
Menopause		0.505
Yes	Reference	
No	1.357 (0.553–3.222)	
Molecular subtype		0.504
LB	Reference	
TNBC	1.341 (0.367–3.173)	
Clinical stage		
I	Reference	–
II	0.552 (0.165–1.846)	0.335
III	0.583 (0.149–2.283)	0.439
ER		0.037
Positive	Reference	
Negative	2.519 (1.057–5.988)	
PR		0.092
Positive	Reference	
Negative	2.101 (0.886–4.975)	
Ki-67		0.209
<30%	Reference	
≥30%	1.842 (0.710–4.779)	
Chemotherapy regimen		
EC-T	Reference	–
TEC	0.936 (0.329–2.667)	0.902
EC-TCb	2.243 (0.649–7.749)	0.202
Epirubicin dose		
Low	Reference	<0.001
Standard	6.200 (2.374–16.193)	<0.001

pCR, pathological complete response; HR, hazard ratio; CI, confidence interval; LB, Luminal B, Her2–; TNBC, triple-negative breast cancer; ER, estrogen receptor; PR, progesterone receptor; EC-T or P, epirubicin/cyclophosphamide followed by docetaxel or paclitaxel; TEC, docetaxel/epirubicin/cyclophosphamide; EC-TCb, epirubicin/cyclophosphamide followed by docetaxel and carboplatin.

Table 4 Multivariate analysis of factors influencing pCR rate

Variables	HR (95% CI)	P value
ER		0.395
Positive	Reference	
Negative	1.825 (0.456–7.299)	
PR		0.442
Positive	Reference	
Negative	1.739 (0.424–7.092)	
Epirubicin dose		<0.001
Low	Reference	
Standard	6.925 (2.537–18.902)	

pCR, pathological complete response; HR, hazard ratio; CI, confidence interval; ER, estrogen receptor; PR, progesterone receptor.

Adverse events during NAC

In terms of grade III/IV adverse events, the incidence rates of leucopenia (35.3% *vs.* 23.2%, $P=0.146$), neutropenia (29.4% *vs.* 18.8%, $P=0.176$), anemia (9.5% *vs.* 2.8%, $P=1.000$), and thrombocytopenia (9.5% *vs.* 0%, $P=0.179$) were higher in the standard-dose group than those in the low-dose group, but there was no significant difference between the two different dose groups. Notably, the incidence of febrile neutropenia, the standard-dose, and low-dose groups were 13.7% and 8.7%, but there was no statistical difference ($P=0.381$). The non-hematological toxicities are like the hematological toxicities (liver dysfunction, 1.7% *vs.* 1.4%, $P=1.000$) in the two different dose groups. The incidence of grade III/IV non-hematological toxicities observed was below 5%. In the low-dose group, one patient who suffered the cardiotoxicity with decreased left ventricular ejection fraction, which was not shown in patients from the standard-dose group (*Table 5*).

Discussion

Epirubicin plays a crucial role in neoadjuvant, adjuvant, and palliative care for breast cancer chemotherapy (8–10). Although there are other alternative medicines or treatments in NAC such as taxane-based or platinum-based chemotherapy regimens, anthracycline-based chemotherapy was the most common-used regimen in clinical practice. Chinese and western populations have

Table 5 Grade III/IV adverse events during neoadjuvant chemotherapy

Variables	Standard-dose, n (%)	Low-dose, n (%)	P value
Hematological toxicity			
Leucopenia	18 (35.3)	16 (23.2)	0.146
Neutropenia	15 (29.4)	13 (18.8)	0.176
Anemia	2 (9.5)	2 (2.8)	1.000
Thrombocytopenia	2 (9.5)	0	0.179
Febrile neutropenia	7 (13.7)	6 (8.7)	0.381
Non-hematological toxicity			
Liver dysfunction	1 (1.7)	1 (1.4)	1.000
renal dysfunction	0	0	–
LVEF decline	0	1 (1.4)	1.000
Heart failure	0	0	–
Myocardial infarction	0	0	–

LVEF, left ventricular ejection fraction.

different responding doses, sensitivities, and toxicity to epirubicin. Further, pharmacogenetics has influenced the pharmacokinetics and metabolism of epirubicin (11). Differences in efficacy and toxicity are because of the inter-patient variability in pharmacokinetics, not doses to body surface area (12). Racial differences in acute toxicity result in potential differential tolerance to chemotherapy, leading to compromised dose intensity in early-stage breast cancer patients who were treated with epirubicin-based chemotherapy (13). Therefore, epirubicin was empirically used in a reduced dosage for Chinese breast patients because it was dose/concentration-dependent (14).

In this study, the standard-dose group showed a higher pCR rate than the low-dose group after NAC (41.2% vs. 10.1%, $P < 0.001$), and standard-dose epirubicin was an independent positive prognostic factor. Whether the epirubicin dose affected the treatment results is still controversial. Ackland *et al.* (15) investigated the dose intensity in anthracycline-based chemotherapy for metastatic breast cancer. The time to disease progression (TTP) (5.7 vs. 5.8 months, $P = 0.19$) or overall survival (OS) (14.5 vs. 16.5 months, $P = 0.29$) between high-dose epirubicin group and standard-dose epirubicin group had no significant differences. Also, the objective tumor response was similar (36% vs. 28%, $P = 0.23$). The high-dose intensity group did not show superiority to epirubicin chemotherapy in disease progression, survival, or quality of life. Coombes

et al. (16) found that high-dose epirubicin (HR, 0.82; 95% CI, 0.63–1.06, $P = 0.13$) had no statistically significant effects on disease-free survival (DFS) in premenopausal patients with node-positive early breast cancer. Higher doses of epirubicin led to more adverse events while no increase in OS. Therasse *et al.* (17) also found that dose-intensified epirubicin did not bring a desirable therapeutic benefit in NAC for locally advanced breast cancer. However, a study from the French Adjuvant Study Group (18) proved that the high-dose epirubicin regimen in adjuvant chemotherapy led to significant benefits for node-positive breast cancer patients. The 5-year DFS was 66.3% and 54.8% ($P = 0.03$) and the 5-year OS was 77.4% and 65.3%, respectively ($P = 0.007$). Petit *et al.* (19) also found that breast cancer patients treated with high-dose anthracycline had a much better overall response rate (82.5% vs. 61.5%, $P = 0.038$) in NAC. A conditional variable combining anthracycline dose with HER-2 status was the independent predictive factor for the overall response rate, and high-dose anthracycline and HER-2+ predicted a high overall response rate.

It was found in our study that the EC-TCb regimen (5/13, 38.46%) had a higher pCR rate trend than that in the EC-T regimen (17/78, 21.79%) or the TEC regimen (6/29, 20.69%). Different subtypes of breast cancer and NAC regimens had various pCR rates in one earlier study. von Minckwitz *et al.* (20) showed the pCR rates of anthracycline combined cyclophosphamide regimen and anthracycline

combined cyclophosphamide following the docetaxel regimen were respectively 7.0% and 14.3% in operable breast cancer NAC ($P=0.0011$). Vriens *et al.* (21) showed the pCR rates of anthracycline combined cyclophosphamide and taxane regimen and anthracycline combined cyclophosphamide following the taxane regimen in NAC for breast cancer were respectively 16% and 21%, and the anthracycline sequential taxane regimen had higher pCR rates. The GeparSixto-GBG66 clinical trial (22) showed that the pCR rate was significantly increased by adding carboplatin from 36.9% to 43.7% in the anthracycline-combined taxane regimen for TNBC patients. Meanwhile, the CALGB 40603 clinical trial (23) got a similar conclusion that the pCR rate rose to 60% in TNBC patients because of the addition of carboplatin.

As for adverse events, we found no significant differences between the two different dose groups in terms of grade III/IV toxicity. Cardiotoxicity was observed in one patient in the low-dose group during epirubicin medication in our study. Zhou *et al.* (24) compared the efficacy and safety of three anthracycline-based NAC regimens in breast cancer. The percentages of patients with grade III/IV neutropenia and liver dysfunction in the epirubicin (100 mg/m^2) combined cyclophosphamide group were 72.0% and 3.7%, respectively. Pizzuti *et al.* (25) found that breast cancer patients accepted a high-dose epirubicin-based (120 mg/m^2) The NAC regimen, combined with trastuzumab. The results showed the percentages of grade III/IV neutropenia, febrile neutropenia, anemia, and thrombocytopenia were 77.8%, 20%, 2.2%, and 0%, respectively. Despite the concurrent use of trastuzumab and anthracycline, researchers did not observe any clinical cardiotoxicity. Ackland *et al.* (15) illustrated there was more toxicity in anthracycline-based (150 mg/m^2) chemotherapy for metastatic breast cancer. The percentages of grade III/IV neutropenia, febrile neutropenia, anemia, and thrombocytopenia were 98%, 50%, 37%, and 65%, with no cases of cardiotoxicity. Therasse *et al.* (17) reported the percentages of grade III/IV neutropenia, febrile neutropenia, anemia, and thrombocytopenia were 78.1%, 8.4%, 50.9%, and 33.1% in locally advanced breast cancer treated with dose-intensified epirubicin (120 mg/m^2) NAC regimen. Only two patients finally developed symptomatic congestive heart failure (2/224, 0.89%). The incidence of neutropenia in our study was lower than the work previously reported. It is due to the combined preventive granulocyte-colony stimulating factor (G-CSF) treatment.

This study had several limitations. First, the molecular subtype of patients in this study only covered Luminal B, Her2- breast cancer, TNBC, and uncovered Her2+ breast cancer. These two types of breast cancer have a better therapeutic effect in NAC than Luminal A breast cancer. Besides, it excluded the interference of trastuzumab. Second, the survival data was lacking because the DFS and OS are still under the long-term follow-up period. Third, this was a retrospective study, and further prospective clinical studies must enroll more patients to confirm the present findings from this study.

Conclusions

Standard-dose epirubicin increases the pCR rate in NAC for breast cancer without extra grade III/IV adverse events. It is a potential treatment choice for Chinese breast cancer patients.

Acknowledgments

Funding: This study was supported by the Key Research and Development Projects from Science and Technology Department of Anhui Province (1704a0802148, 1804h08020259), the Fundamental Research Funds for the Central Universities (WK9110000058), the Natural Science Foundation of Anhui Province (1908085MH260) and the Hefei Municipal Independent Innovation Policy “Borrowing and Transferring” Project (J2018Y01).

Footnote

Reporting Checklist: The authors have completed the STROBE reporting checklist. Available at <http://dx.doi.org/10.21037/gs-20-647>

Data Sharing Statement: Available at <http://dx.doi.org/10.21037/gs-20-647>

Conflicts of Interest: All authors have completed the ICMJE uniform disclosure form (available at <http://dx.doi.org/10.21037/gs-20-647>). Dr. Pan reports grants from Fundamental Research Funds for the Central Universities, during the conduct of the study. The other authors have no conflicts of interest to declare.

Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related

to the accuracy or integrity of any part of the work are appropriately investigated and resolved. This study was approved by the Ethics Committee of Anhui Provincial Hospital (2019-ky086). The need for written informed consent was waived by the Ethics Committee because of the retrospective nature of this study. This study was conducted following the Declaration of Helsinki (as revised in 2013).

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Cite this article as: Shan BJ, Shen XB, Jin W, Dong MH, Han XH, Lin L, Chen J, Huang DB, Qian J, Zhang JJ, Pan YY. Standard-dose epirubicin increases the pathological complete response rate in neoadjuvant chemotherapy for breast cancer: a multicenter retrospective study. *Gland Surg* 2020;9(4):1026-1035. doi: 10.21037/gS-20-647